

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	11323.0007
First Inventor	Mazzone
Title	Medical Information System, Method and
Express Mail Label No.	EE209745850US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, D.C. 20231

- |   |  |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br/>See CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages / 25 / ]<br/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p><input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets / 3 / ]</p> <p><input checked="" type="checkbox"/> Oath or Declaration [Total Pages / 2 / ]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|--|

## ACCOMPANYING APPLICATION PARTS

- ☒ 9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Checks in the amounts of \$763 and \$40

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of the prior application No: \_\_\_/\_\_\_

Prior application information: Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME

R. Kent Roberts

Hodgson Russ LLP

ADDRESS

One M&amp;T Plaza, Suite 2000

CITY

Buffalo

STATE

New York

ZIP CODE

14203-2391

COUNTRY

United States of America

TELEPHONE

(716) 856-4000

FAX

(716) 849-0349

Name (Print/Type)

R. Kent Roberts

Registration No. (Attorney/Agent)

40,786

Signature

R. Kent Roberts

Date

August 17, 2001

"Express Mail" Mailing Label Number EE209745850US

Date of Deposit August 17, 2001

# FEE TRANSMITTAL for FY 2001

Patent Fees are subject to annual revision.

Application Number

Filing Date

August 17, 2001

First Named Inventor

Mazzone

Examiner Name

Group/Art Unit

Attorney Docket Number

11323.0007

TOTAL AMOUNT OF PAYMENT

(\$ 803.00)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

## 3. ADDITIONAL FEES

Deposit Account Number: 08-2442  
Deposit Account Name:  
Hodgson Russ LLP

Large  
Fee  
CodeEntity  
Fee  
(\$)Small  
Fee  
CodeEntity  
Fee  
(\$)

Fee Description

Fee  
Paid

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

105

130

205

65

Surcharge - late filing fee or oath

\$

☒ Applicant claims small entity status. See 37 CFR 1.27.

127

50

227

25

Surcharge - late provisional filing fee or cover sheet

\$

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

139

130

139

130

Non-English specification

\$

## FEE CALCULATION

147

2,520

147

2,520

For filing a request for *ex parte* reexamination

\$

## 1. BASIC FILING FEE

Large Entity Small Entity

112

920\*

112

920\*

Requesting Publication of SIR prior to Examiner Action

\$

Fee Code Fee (\$)

Fee Code (\$)

Fee Description

Fee Paid

113

1,840\*

113

1,840\*

Requesting Publication of SIR after Examiner Action

\$

101 710 201 355 Utility filing fee \$ 355

115

110

215

55

Extension for reply within first month

\$

106 320 206 160 Design filing fee \$

116

390

216

195

Extension for reply within second month

\$

107 490 207 245 Plant filing fee \$

117

890

217

445

Extension for reply within third month

\$

108 710 208 355 Reissue filing fee \$

118

1,390

218

695

Extension for reply within fourth month

\$

114 150 214 75 Provisional filing fee \$

128

1,890

228

945

Extension for reply within fifth month

\$

## SUBTOTAL (1)

\$ 355

119

310

219

155

Notice of Appeal

\$

## 2. EXTRA CLAIM FEES

Extra Fee from  
Claims below

Fee  
Paid

120

310

220

155

Filing a brief in support of an appeal

\$

Total Claims /52/ - 20\*\* = /32/ x /9/ = \$ 288

121

270

221

135

Request for oral hearing

\$

Independent Claims /6/ - 3\*\* = /3/ x /40/ = \$ 120

138

1,510

138

1,510

Petition to institute a public use proceeding

\$

Multiple dependent /0/ x /0/ = \$ 0

140

110

240

55

Petition to revive - unavoidable

\$

Large Entity Small Entity

141

1,240

241

620

Petition to revive - unintentional

\$

Fee Code Fee (\$)

Fee Code (\$)

Fee Description

Fee Paid

142

1,240

242

620

10 advance copies  
Utility issue fee (or reissue)

\$

103 18 203 9 Claims in excess of 20 \$

143

440

243

220

Design issue fee

\$

102 80 202 40 Independent claims in excess of 3 \$

144

600

244

300

Plant issue fee

\$

104 270 204 135 Multiple dependent claim if not paid \$

122

130

122

130

Petitions to the Commissioner

\$

109 80 209 40 \*\*Reissue independent claims over original patent \$

123

50

123

50

Processing fee under 37 CFR 1.17(q)

\$

110 18 210 9 \*\*Reissue claims in excess of 20 and over original patent \$

126

180

126

180

Submission of Information Disclosure Statement

\$

## SUBTOTAL (2)

\$ 408

581

40

581

40

Recording each patent assignment per property (times number of properties)

\$ 40

SIGNATURE:

R. Kent Roberts

146

710

246

355

Filing a submission after final rejection(37 CFR 1.129(a))

\$

R. Kent Roberts

Reg. No. 40,786

149

710

249

355

For each add'l invention to be examined(37 CFR 1.129(b))

\$

DATE: August 17, 2001 Telephone: (716) 848-1510

179

710

279

355

Request For Continued Examination (RCE)

\$

Express Mail® Mailing Label Number: EE209745850US

169

900

169

900

Request for Expedited Examination of a design appln.

\$

Date of Deposit August 17, 2001

\*Reduced by basic filing fee paid

SUBTOTAL (3) \$ 40